COMP782
Advanced Topics in Theory and Practice of Software
S1 Day 2016
Dept of Computing

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General Information

Unit convenor and teaching staff
Unit Convenor
Anthony Sloane
anthony.sloane@mq.edu.au
Contact via anthony.sloane@mq.edu.au
E6A315
Monday 2-3pm or by appointment

Credit points
4

Prerequisites
Admission to MRes

Corequisites

Co-badged status

Unit description
This unit introduces the formal study of software systems. It is intended to provide a general basis for further study or research in software-focused areas of Computer Science such as Programming Languages and Formal Methods. The unit is organised around two main themes: a) the meaning of languages and programs, and b) techniques for verifying that languages and programs have desired properties. The practical work in the unit includes implementation of formal language semantics and development of verification proofs.

Important Academic Dates
Information about important academic dates including deadlines for withdrawing from units are available at https://students.mq.edu.au/important-dates

Learning Outcomes

1. Understand how to use mathematical techniques to specify the meaning of programming languages and programs.
2. Ability to use a practical tool or system to specify and prove formal properties of programming languages and programs.
3. Ability to investigate a topic in advanced computer science and report findings in written and oral form.
Assessment Tasks

<table>
<thead>
<tr>
<th>Name</th>
<th>Weighting</th>
<th>Due</th>
</tr>
</thead>
<tbody>
<tr>
<td>Weekly Homework</td>
<td>40%</td>
<td>Weeks 2 to 13</td>
</tr>
<tr>
<td>Research Report</td>
<td>20%</td>
<td>Week 13</td>
</tr>
<tr>
<td>Presentation</td>
<td>10%</td>
<td>Week 13</td>
</tr>
<tr>
<td>Examination</td>
<td>30%</td>
<td>Exam period</td>
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</tbody>
</table>

Weekly Homework

Due: **Weeks 2 to 13**
Weighting: **40%**

Each week students will be asked to complete some Coq exercises based on the class material of that week.

This Assessment Task relates to the following Learning Outcomes:

- Understand how to use mathematical techniques to specify the meaning of programming languages and programs.
- Ability to use a practical tool or system to specify and prove formal properties of programming languages and programs.
- Ability to investigate a topic in advanced computer science and report findings in written and oral form.

Research Report

Due: **Week 13**
Weighting: **20%**

Students will be asked to investigate a theorem prover, proof assistant, software verification system or model checker other than Coq. A short report must be written that describes the system that has been investigated, illustrates an example verification or proof with that system, and compares the strengths and weaknesses of the system to Coq.

This Assessment Task relates to the following Learning Outcomes:

- Understand how to use mathematical techniques to specify the meaning of programming languages and programs.
- Ability to investigate a topic in advanced computer science and report findings in written and oral form.
Presentation
Due: **Week 13**
Weighting: **10%**
A presentation on the topic of the research report. Presentations will be thirty minutes long, including five minutes for questions, and will be held in class in Week 13.

This Assessment Task relates to the following Learning Outcomes:
- Understand how to use mathematical techniques to specify the meaning of programming languages and programs.
- Ability to investigate a topic in advanced computer science and report findings in written and oral form.

Examination
Due: **Exam period**
Weighting: **30%**
In the exam period, students will be given a week to undertake a non-trivial software formalisation and proof task using Coq.

This Assessment Task relates to the following Learning Outcomes:
- Understand how to use mathematical techniques to specify the meaning of programming languages and programs.
- Ability to use a practical tool or system to specify and prove formal properties of programming languages and programs.
- Ability to investigate a topic in advanced computer science and report findings in written and oral form.

**Delivery and Resources**

**CLASSES**
Each week of COMP782 has three hours of face-to-face class. Classes will be a mixture of lecture-style presentation, discussion and practical demonstration.

**REQUIRED AND RECOMMENDED TEXTS AND/OR MATERIALS**
COMP782 will follow the book *Software Foundations* by Benjamin Pierce et al. (http://www.cis.upenn.edu/~bcpierce/sf/). The book is freely available from the web site and consists of annotated programs, proofs and exercises. It can be read in HTML form or the full distribution can be downloaded for formatting as PDF. This book is updated from time to time.
We will use the Version 3.2 (January 2015) of the book.

Students should read the relevant sections of the book and attempt the basic exercises on their own. Class time will be devoted to the main ideas of each chapter and working through a number of exercises. Some basic and more advanced exercises will be set as homework exercises.

**UNIT WEBPAGE AND TECHNOLOGY USED AND REQUIRED**

COMP782 uses iLearn for delivery of class materials, discussion boards, online selftests, submission of assessment tasks and access to marks and comments. Students should check the iLearn site regularly for unit updates.

Questions regarding the content of this unit, its tutorials or practicals should be posted to the appropriate discussion board on iLearn. In particular, any questions which are of interest to all students in this unit should be posted to one of these discussion boards, so that everyone can benefit from the answers.

**Coq Proof Assistant**

The practical work in this unit involves functional programming and proof construction using the [Coq proof assistant](http://coq.inria.fr). Ideally, students should install Coq on a laptop and bring it to class so they can follow along with in-class exercises.

We will use Coq version 8.4pl6. **DO NOT INSTALL** the newer version 8.5 since it is not compatible with the version of the Software Foundations book that we will use.

**Unit Schedule**

The unit introduces the formal study of software systems. It is intended to provide a general basis for further study or research in software-focused areas of Computer Science such as Programming Languages and Formal Methods.

The unit is organised around two main themes:

a) The meaning of programs. To study software systems it is necessary to have a proper understanding of the programming languages in which those systems are written. Formal semantic descriptions of languages assign mathematical meanings to programs. Typical kinds of meaning that will be studied include types that specify the operations that programs will perform, and operational aspects that capture how a program behaves as it executes.

b) Techniques for verifying that languages and programs have desired properties. We will see how to analyse a language semantics to prove that all programs written in that language have desirable properties. E.g., a desirable property of a type system is type safety: that an executing program cannot execute an illegal operation. We will also study the properties of particular programs. E.g., it is often desirable to be able to prove that a program produces a desired result.

The practical work in the unit will include implementation of formal language semantics and development of verification proofs. We emphasise the use of frameworks and tools to assist with both of these activities. Examples include the use of software language engineering tools and
Policies and Procedures

libraries to assist with language implementation, and the use of proof assistants, program verification systems or model checkers to help us specify properties and to find proofs.

Students entering this unit should have reasonable programming experience and should have studied discrete mathematics. Relevant Macquarie University units for programming maturity are COMP225 Algorithms and Data Structures, COMP229 Object-Oriented Programming Practices and any 300-level unit that applies programming skills to particular problem domains (e.g., COMP330 Computer Graphics, COMP333 Algorithm Theory and Design, and ISYS302 Advanced Application Development). DMTH237 Discrete Mathematics II is good background in that area. Previous courses in areas such as programming language concepts or implementation, such as COMP332 Programming Languages, will be helpful, but are not required.

The class material will be structured according to the following schedule. The rightmost column refers to the relevant chapters of the “Software Foundations” required text. Note that there will be no class in Week 5 and Week 7 due to the Easter Monday and Anzac Day holidays, respectively.

<table>
<thead>
<tr>
<th>Week</th>
<th>Topic</th>
<th>Chapter of Text</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Introduction</td>
<td>Preface</td>
</tr>
<tr>
<td>1</td>
<td>Functional Programming</td>
<td>Basics</td>
</tr>
<tr>
<td>2</td>
<td>Proof by Induction, Structured Data</td>
<td>Induction, Lists</td>
</tr>
<tr>
<td>3-4</td>
<td>Polymorphism and Higher-Order Functions, More about Coq</td>
<td>Poly, MoreCoq</td>
</tr>
<tr>
<td>6, 8-9</td>
<td>Propositions and Evidence, Logic, Working with Explicit Evidence</td>
<td>Prop, MoreProp, Logic, ProofObjects</td>
</tr>
<tr>
<td>10-12</td>
<td>Applications: from Imperative Programs, Program Equivalence, Small-step Operational Semantics, Type Systems, Simple-typed Lambda Calculus</td>
<td>from Imp, Equiv, Hoare, Hoare2, Smallstep, Types, Stlc, StlcProp</td>
</tr>
<tr>
<td>13</td>
<td>Presentations, Review</td>
<td></td>
</tr>
</tbody>
</table>

Macquarie University policies and procedures are accessible from Policy Central. Students should be aware of the following policies in particular with regard to Learning and Teaching:


In addition, a number of other policies can be found in the Learning and Teaching Category of Policy Central.

**Student Code of Conduct**

Macquarie University students have a responsibility to be familiar with the Student Code of Conduct: [https://students.mq.edu.au/support/student_conduct/](https://students.mq.edu.au/support/student_conduct/)

**Results**

Results shown in iLearn, or released directly by your Unit Convenor, are not confirmed as they are subject to final approval by the University. Once approved, final results will be sent to your student email address and will be made available in eStudent. For more information visit ask.mq.edu.au.

**Department of Computing Special Consideration Policy**

If you apply for Special Consideration and it is judged by the Department of Computing that your performance on an examination has been affected adversely by the circumstances documented in the consideration request, you will be required to sit a Supplementary Examination. The Supplementary Examination will normally be scheduled after the official examination period, but may be earlier in the case of a mid-semester examination. For details see the Special Consideration policy specific to the Department of Computing.

**Student Support**

Macquarie University provides a range of support services for students. For details, visit [http://students.mq.edu.au/support/](http://students.mq.edu.au/support/)

**Learning Skills**

Learning Skills ([mq.edu.au/learningskills](http://mq.edu.au/learningskills)) provides academic writing resources and study strategies to improve your marks and take control of your study.

- Workshops
- StudyWise
- Academic Integrity Module for Students
- Ask a Learning Adviser

**Student Enquiry Service**

For all student enquiries, visit Student Connect at ask.mq.edu.au

**Equity Support**

Students with a disability are encouraged to contact the Disability Service who can provide appropriate help with any issues that arise during their studies.
IT Help
For help with University computer systems and technology, visit http://www.mq.edu.au/about_us/offices_and_units/information_technology/help/.

When using the University's IT, you must adhere to the Acceptable Use of IT Resources Policy. The policy applies to all who connect to the MQ network including students.

Graduate Capabilities

PG - Discipline Knowledge and Skills
Our postgraduates will be able to demonstrate a significantly enhanced depth and breadth of knowledge, scholarly understanding, and specific subject content knowledge in their chosen fields.

This graduate capability is supported by:

Learning outcomes

- Understand how to use mathematical techniques to specify the meaning of programming languages and programs.
- Ability to use a practical tool or system to specify and prove formal properties of programming languages and programs.
- Ability to investigate a topic in advanced computer science and report findings in written and oral form.

Assessment tasks

- Weekly Homework
- Research Report
- Presentation
- Examination

PG - Critical, Analytical and Integrative Thinking
Our postgraduates will be capable of utilising and reflecting on prior knowledge and experience, of applying higher level critical thinking skills, and of integrating and synthesising learning and knowledge from a range of sources and environments. A characteristic of this form of thinking is the generation of new, professionally oriented knowledge through personal or group-based critique of practice and theory.

This graduate capability is supported by:

Learning outcomes

- Understand how to use mathematical techniques to specify the meaning of programming languages and programs.
• Ability to use a practical tool or system to specify and prove formal properties of programming languages and programs.
• Ability to investigate a topic in advanced computer science and report findings in written and oral form.

Assessment tasks

• Weekly Homework
• Research Report
• Presentation
• Examination

PG - Research and Problem Solving Capability

Our postgraduates will be capable of systematic enquiry; able to use research skills to create new knowledge that can be applied to real world issues, or contribute to a field of study or practice to enhance society. They will be capable of creative questioning, problem finding and problem solving.

This graduate capability is supported by:

Learning outcomes

• Understand how to use mathematical techniques to specify the meaning of programming languages and programs.
• Ability to use a practical tool or system to specify and prove formal properties of programming languages and programs.
• Ability to investigate a topic in advanced computer science and report findings in written and oral form.

Assessment tasks

• Weekly Homework
• Research Report
• Presentation
• Examination

PG - Effective Communication

Our postgraduates will be able to communicate effectively and convey their views to different social, cultural, and professional audiences. They will be able to use a variety of technologically supported media to communicate with empathy using a range of written, spoken or visual formats.

This graduate capability is supported by:
Learning outcomes

• Understand how to use mathematical techniques to specify the meaning of programming languages and programs.
• Ability to investigate a topic in advanced computer science and report findings in written and oral form.

Assessment tasks

• Weekly Homework
• Research Report
• Presentation
• Examination

Changes from Previous Offering

This unit will be taught in much the same way as the offering which was held in Session 1, 2015, except for some topic adjustment due to differing public holidays.

Assessment Standards

COMP782 will be assessed and graded according to the University assessment and grading policies.

Submission Deadlines

Assessment deadlines are strict, unless an application for special consideration is received (preferably in advance) accompanied by appropriate documentary evidence. Late submissions will be penalised at the rate of 20% of the full marks for the assessment per day or part thereof late.

Standards

The following general standards of achievement will be used to assess each of the assessment tasks with respect to the letter grades.

Pass: Has a basic understanding of language and program semantics as discussed in class. Can use a verification tool or proof system to specify and prove simple language and program properties similar to those discussed in class. Can perform a basic research investigation in the area and present the results of that research in rudimentary written and oral forms.

Credit: As for Pass plus: Is able to apply the techniques we have discussed to specify and prove new properties that are not direct analogues of ones discussed in class. Shows more than basic insights into the results of a research investigation and is able to communicate those insights.

Distinction/High Distinction: As for Credit plus: Is able to generalise from the language and program properties discussed explicitly to new ones for problem domains not explicitly discussed in class and can apply verification tools and systems to proofs about them. Can critically evaluate the limits of the techniques and tools we have discussed.
Assessment Process

These assessment standards will be used to give a numeric mark out of 100 to each assessment submission during marking. The mark will correspond to a letter grade for that task according to the University guidelines. The final raw mark for the unit will be calculated by combining the marks for all assessment tasks according to the percentage weightings shown in the assessment summary.

We will look at your overall performance on all assessments when determining your final grade. A total raw mark of at least 50% and a mark of at least 50% on each of the four assessment categories will be sufficient to pass the unit. Students who do not meet this cut-off will be examined on a case-by-case basis.

On occasion your raw mark for the unit may not be the same as the Standardised Numeric Grade (SNG) which you receive as the result. Under the Senate guidelines, raw marks may be scaled to ensure that there is a degree of comparability across the university, so that units with the same past performances of their students should achieve similar results.