



# STAT728

## Data Mining

S1 Evening 2019

*Dept of Mathematics and Statistics*

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## General Information

Unit convenor and teaching staff

Unit Convenor

Ayse Bilgin

[ayse.bilgin@mq.edu.au](mailto:ayse.bilgin@mq.edu.au)

Contact via Email

12 Wally's Walk Office 6.35

See iLearn

Credit points

4

Prerequisites

Admission to MRes

Corequisites

Co-badged status

STAT828: Data Mining

Unit description

Data mining is an important analytical tool as organisations deal with increasingly large data sets. It is about discovering patterns in the big data sets, and converting data into information or learning from data. Data mining uses techniques from different disciplines such as statistics, computing and machine learning. This unit introduces relevant data mining techniques using a white box approach to illuminate the underlying algorithms and statistical principles. This unit is designed to inform students about the data mining techniques by arming them with a deeper understanding of the algorithms and statistical principles underlying the techniques. At least two different software packages will be used to apply the different methods to discover information from different data sources. The first part of the unit will cover descriptive data mining, which will concentrate on exploratory tools such as graphical displays and descriptive statistics by using R and IBM SPSS Modeler. The second part will introduce the model building and predictive data mining such as classification, market basket analysis and clustering.

## Important Academic Dates

Information about important academic dates including deadlines for withdrawing from units are available at <https://www.mq.edu.au/study/calendar-of-dates>

## Learning Outcomes

On successful completion of this unit, you will be able to:

have an extensive understanding of the principles and the concepts of data mining methods and their applications

apply creative thinking to resolve complex problems or issues as well as summarising complex multivariate data and creating visual summaries of such data

explain the link between descriptive and predictive data mining to support good decision making

examine and compare the differences between different decision trees and interpret sophisticated decision tree models for decision makers by writing a professional data mining report

analyse data sets by applying classification and cluster analysis methods and use their results to create an action plan for the management

apply market basket analysis to the sales data of a company, synthesise the results for a professional data mining report

demonstrate level of knowledge and technical expertise in data mining activities, including cleaning and transformation of data; presentation of results of mining and modelling to possible users

## General Assessment Information

All within session assessment tasks must be submitted online via iLearn.

Project report(s) need to be submitted in word or pdf format. Additional project related files such as R scripts, IBM Modeler stream(s) will require separate submission. Each page in word or pdf files should have the student ID and student name as footer to eliminate any problems. When naming files please adopt the following convention: StudentID-(Your Surname)(Initial of Your First Name) – Assessment Task (Assignment 1) e.g., **40000000-BilginA-Project 1**. No other format of naming the assessment tasks will be accepted. If you are unable to submit your assessment through iLearn (due to technical problems); an electronic (word or pdf) file (one file only) can be e-mailed to A/Prof Ayse Bilgin ([ayse.bilgin@mq.edu.au](mailto:ayse.bilgin@mq.edu.au)).

All assessment tasks must be submitted by the official due date and time. No marks will be given for late work unless an extension has been granted following a successful application for Special Consideration. Please contact the unit convenor for advice as soon as you become aware that you may have difficulty meeting any of the assignment deadlines.

## Assessment Tasks

Name	Weighting	Hurdle	Due
<a href="#">Market Basket Analysis Report</a>	15%	No	Week 7
<a href="#">Data Mining Project Report</a>	30%	No	Week 12

Name	Weighting	Hurdle	Due
<u>Participation in Practicals</u>	5%	No	Weekly
<u>Final Exam</u>	50%	No	Examination Period

## Market Basket Analysis Report

Due: **Week 7**

Weighting: **15%**

**Undirected knowledge discovery (Cluster Analysis and Market Basket Analysis) Project** is an individual assessment task.

Students are allowed to use data sets from their workplaces. However, they need to consult A/ Prof Bilgin for approval of the suitability of the data set for the project.

Examples of earlier student reports will be provided within iLearn.

On successful completion you will be able to:

- have an extensive understanding of the principles and the concepts of data mining methods and their applications
- apply creative thinking to resolve complex problems or issues as well as summarising complex multivariate data and creating visual summaries of such data
- explain the link between descriptive and predictive data mining to support good decision making
- apply market basket analysis to the sales data of a company, synthesise the results for a professional data mining report
- demonstrate level of knowledge and technical expertise in data mining activities, including cleaning and transformation of data; presentation of results of mining and modelling to possible users

## Data Mining Project Report

Due: **Week 12**

Weighting: **30%**

**Directed Knowledge Discovery (Data Mining) Project** is a group work project.

Students will be put into groups as soon as possible (i.e. by week three) and they will be given the opportunity to work on their project during practicals.

Students are allowed to use data sets from their workplaces. However, they need to consult A/ Prof Bilgin for approval of the suitability of the data set for the project.

The expected format for the report and the examples of earlier reports will be provided within

iLearn.

On successful completion you will be able to:

- have an extensive understanding of the principles and the concepts of data mining methods and their applications
- apply creative thinking to resolve complex problems or issues as well as summarising complex multivariate data and creating visual summaries of such data
- explain the link between descriptive and predictive data mining to support good decision making
- examine and compare the differences between different decision trees and interpret sophisticated decision tree models for decision makers by writing a professional data mining report
- analyse data sets by applying classification and cluster analysis methods and use their results to create an action plan for the management
- demonstrate level of knowledge and technical expertise in data mining activities, including cleaning and transformation of data; presentation of results of mining and modelling to possible users

## Participation in Practicals

Due: **Weekly**

Weighting: **5%**

Exercise (applications of what we have learnt in Lectures) completion and contribution to discussions will be taken into account when allocating the marks. For individual due dates of exercises see iLearn.

On successful completion you will be able to:

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## Final Exam

Due: **Examination Period**

Weighting: **50%**

**Final examination** is 2 hours long with 10 minutes reading time and will be held during the exam period. You will be permitted to bring an A4 sheet of notes, handwritten or typed, on both sides, into the final examination. This summary must be submitted with your exam paper.

Calculators are permitted, but may be used only as calculators, and not as storage devices. No electronic devices other than calculators are permitted to be used during the exam. The final examination will be timetabled in the official University examination timetable. The University Examination timetable will be available in draft form approximately eight weeks before the commencement of the examinations and in final form approximately four weeks before the commencement of the examinations at: [http://students.mq.edu.au/student\\_admin/exams/](http://students.mq.edu.au/student_admin/exams/)

The only exception to not sitting an examination at the designated time is because of documented illness or unavoidable disruption. If this happens, you may wish to consider applying for a Special Consideration. Students need to apply for Special Consideration online at <https://ask.mq.edu.au/>

If you apply for Special Consideration for the final examination, you must make yourself available for the Supplementary Examination as organised by the Faculty of Science & Engineering. If you are not available at that time, there is no guarantee that an additional examination time will be offered. Specific examination dates and times will be determined at a later date. If you receive special consideration for the final exam, a supplementary exam will be scheduled in the interval between the regular exam period and the start of the next session. By making a special consideration application for the final exam you are declaring yourself available for a resit during the supplementary examination period and will not be eligible for a second special consideration approval based on pre-existing commitments. Please ensure you are familiar with the policy prior to submitting an application. You can check the supplementary exam information page on FSE101 in iLearn ([bit.ly/FSESup](http://bit.ly/FSESup)) for dates, and approved applicants will receive an individual notification one week prior to the exam with the exact date and time of their supplementary examination.

Your final grade in STAT828 will be based on your work during the semester and in the final examination.

On successful completion you will be able to:

- have an extensive understanding of the principles and the concepts of data mining methods and their applications
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- explain the link between descriptive and predictive data mining to support good decision making

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## Delivery and Resources

### Classes

#### Lectures

Lectures begin in Week 1 and are scheduled to be between 6:00 and 8:00 pm (**see timetables for details**).

#### Practicals

Practicals begin in Week 2. The aim of practicals is to practise techniques learned in lectures. They are designed so that students work through the exercises asking as many questions as they need to improve their understanding. Instructors are the facilitators in the practical groups. They will assist students and create an environment for discussion between students. Practical will be held between 8:00pm and 10:00pm (see timetables for details).

### Teaching and Learning Strategy

- Students are expected to attend all the lectures and the practicals. **Note that this is an internal unit.**
- Students must satisfactorily participate in a minimum of 8 (eight) of the weekly practicals to achieve participation mark of 5%.
- Additional readings will be provided through iLearn to provide opportunities for students to increase their knowledge.
- Weekly practical exercises are set for individual development and considered formative assessment, although participation (see assessments) will be based on the submitted lab exercises. These lab exercises are designed to be completed by each individual to achieve the best learning. Therefore, it is suggested that if students decide to work together, the final product should be written individually and group work should be acknowledged to draw the attention of the lecturer. **Students need to bring a hard or**

**soft copy of their completed (or in progress) lab exercises to the class for discussion, as well as submitting a soft copy electronically through iLearn.**

- Projects are extensions to the lab exercises. They require applying the learned techniques to unseen data sets and writing professional reports.

## Relationship between Assessment and Learning Outcomes

While attendance at classes is important, it is only a small proportion of the total workload for the unit: reading, research in the library (or internet), working with other students in groups, completing assignments, using the computer packages to develop models and private study are all parts of the work involved.

**Weekly lab exercises** are due at the BEGINNING of your lecture session on week following date of issue (e.g. Week 2 lab exercise solution is due in Week 3 before the lecture or by 6pm). **You need to submit them through iLearn and bring a copy (soft or printed) to the class.** You will be given opportunity during the practical to discuss your solution with your peers. These discussions will form part of the feedback to your submitted (prepared) lab exercises.

In addition to group discussions, suggested solutions to lab exercises will be provided through iLearn in a timely manner. You are expected to submit at least 8 of the lab exercises. Failure to comply with this may result in getting a zero participation mark. Instead of content marking for the weekly lab exercises, a participation mark will be given to each student at the end of the semester based on the quality of their submissions (which will be shared by all students – details will be provided in the first lecture and within each lab exercise).

See Assessment Section for other assessment tasks.

If for any reason, students cannot complete their assessment tasks on time, they have to contact the lecturer in advance. No extensions for the lab exercises will be granted unless satisfactory documentation outlining illness or misadventure is submitted.

## Unit Schedule

Week 1: Introduction to Data Mining & Introduction to R

Week 2: Data Preprocessing, missing data, outliers & Further R

Week 3: Descriptive and exploratory data mining, concept hierarchies & Graphical displays with R

Week 4: Graphics and data explorations & Introduction to IBM SPSS Modeler

Week 5: Market Basket Analysis

Week 6: Cluster Analysis (1)

Week 7: Classification (1): Impurity Measures

Week 8: Classification (2): Decision Trees

Week 9: Classification (3): NN



Week 10: Classification (4): SVM

Week 11: Classification (5): NBC

Week 12: Cluster Analysis (2)

Week 13: Revision and Data Mining Project Poster Presentations

*Note that the order of the lectures might change and all exercises are due by 5:30pm a week after they are issued*

## Policies and Procedures

Macquarie University policies and procedures are accessible from [Policy Central \(https://staff.mq.edu.au/work/strategy-planning-and-governance/university-policies-and-procedures/policy-central\)](https://staff.mq.edu.au/work/strategy-planning-and-governance/university-policies-and-procedures/policy-central). Students should be aware of the following policies in particular with regard to Learning and Teaching:

- [Academic Appeals Policy](#)
- [Academic Integrity Policy](#)
- [Academic Progression Policy](#)
- [Assessment Policy](#)
- [Fitness to Practice Procedure](#)
- [Grade Appeal Policy](#)
- [Complaint Management Procedure for Students and Members of the Public](#)
- [Special Consideration Policy](#) (**Note:** *The Special Consideration Policy is effective from 4 December 2017 and replaces the Disruption to Studies Policy.*)

Undergraduate students seeking more policy resources can visit the [Student Policy Gateway \(https://students.mq.edu.au/support/study/student-policy-gateway\)](https://students.mq.edu.au/support/study/student-policy-gateway). It is your one-stop-shop for the key policies you need to know about throughout your undergraduate student journey.

If you would like to see all the policies relevant to Learning and Teaching visit [Policy Central \(https://staff.mq.edu.au/work/strategy-planning-and-governance/university-policies-and-procedures/policy-central\)](https://staff.mq.edu.au/work/strategy-planning-and-governance/university-policies-and-procedures/policy-central).

## Student Code of Conduct

Macquarie University students have a responsibility to be familiar with the Student Code of Conduct: <https://students.mq.edu.au/study/getting-started/student-conduct>

## Results

Results published on platform other than [eStudent](#), (eg. iLearn, Coursera etc.) or released directly by your Unit Convenor, are not confirmed as they are subject to final approval by the University. Once approved, final results will be sent to your student email address and will be made available in [eStudent](#). For more information visit [ask.mq.edu.au](https://ask.mq.edu.au) or if you are a Global MBA student contact [globalmba.support@mq.edu.au](mailto:globalmba.support@mq.edu.au)

## Student Support

Macquarie University provides a range of support services for students. For details, visit <http://students.mq.edu.au/support/>

### Learning Skills

Learning Skills ([mq.edu.au/learningskills](http://mq.edu.au/learningskills)) provides academic writing resources and study strategies to improve your marks and take control of your study.

- [Workshops](#)
- [StudyWise](#)
- [Academic Integrity Module for Students](#)
- [Ask a Learning Adviser](#)

The Macquarie University offers various workshops for the postgraduate students which you might find useful. The overviews and timetables can be accessed at [http://www.students.mq.edu.au/support/learning\\_skills/workshops/postgraduate\\_workshops/](http://www.students.mq.edu.au/support/learning_skills/workshops/postgraduate_workshops/)

There are specific workshops for international students that help them to integrate into Australian Education System <http://www.international.mq.edu.au/>.

## Student Services and Support

Students with a disability are encouraged to contact the [Disability Service](#) who can provide appropriate help with any issues that arise during their studies.

## Student Enquiries

For all student enquiries, visit Student Connect at [ask.mq.edu.au](http://ask.mq.edu.au)

If you are a Global MBA student contact [globalmba.support@mq.edu.au](mailto:globalmba.support@mq.edu.au)

## IT Help

For help with University computer systems and technology, visit [http://www.mq.edu.au/about\\_us/offices\\_and\\_units/information\\_technology/help/](http://www.mq.edu.au/about_us/offices_and_units/information_technology/help/).

When using the University's IT, you must adhere to the [Acceptable Use of IT Resources Policy](#). The policy applies to all who connect to the MQ network including students.

## Graduate Capabilities

### PG - Capable of Professional and Personal Judgment and Initiative

Our postgraduates will demonstrate a high standard of discernment and common sense in their professional and personal judgment. They will have the ability to make informed choices and decisions that reflect both the nature of their professional work and their personal perspectives.

This graduate capability is supported by:

## Learning outcomes

- apply creative thinking to resolve complex problems or issues as well as summarising complex multivariate data and creating visual summaries of such data
- explain the link between descriptive and predictive data mining to support good decision making
- examine and compare the differences between different decision trees and interpret sophisticated decision tree models for decision makers by writing a professional data mining report
- analyse data sets by applying classification and cluster analysis methods and use their results to create an action plan for the management
- apply market basket analysis to the sales data of a company, synthesise the results for a professional data mining report
- demonstrate level of knowledge and technical expertise in data mining activities, including cleaning and transformation of data; presentation of results of mining and modelling to possible users

## Assessment tasks

- Market Basket Analysis Report
- Data Mining Project Report
- Participation in Practicals
- Final Exam

## PG - Discipline Knowledge and Skills

Our postgraduates will be able to demonstrate a significantly enhanced depth and breadth of knowledge, scholarly understanding, and specific subject content knowledge in their chosen fields.

This graduate capability is supported by:

## Learning outcomes

- have an extensive understanding of the principles and the concepts of data mining methods and their applications
- apply creative thinking to resolve complex problems or issues as well as summarising complex multivariate data and creating visual summaries of such data
- explain the link between descriptive and predictive data mining to support good decision making
- examine and compare the differences between different decision trees and interpret sophisticated decision tree models for decision makers by writing a professional data

mining report

- analyse data sets by applying classification and cluster analysis methods and use their results to create an action plan for the management
- apply market basket analysis to the sales data of a company, synthesise the results for a professional data mining report
- demonstrate level of knowledge and technical expertise in data mining activities, including cleaning and transformation of data; presentation of results of mining and modelling to possible users

## Assessment tasks

- Market Basket Analysis Report
- Data Mining Project Report
- Participation in Practicals
- Final Exam

## PG - Critical, Analytical and Integrative Thinking

Our postgraduates will be capable of utilising and reflecting on prior knowledge and experience, of applying higher level critical thinking skills, and of integrating and synthesising learning and knowledge from a range of sources and environments. A characteristic of this form of thinking is the generation of new, professionally oriented knowledge through personal or group-based critique of practice and theory.

This graduate capability is supported by:

## Learning outcomes

- have an extensive understanding of the principles and the concepts of data mining methods and their applications
- apply creative thinking to resolve complex problems or issues as well as summarising complex multivariate data and creating visual summaries of such data
- explain the link between descriptive and predictive data mining to support good decision making
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## Assessment tasks

- Market Basket Analysis Report
- Data Mining Project Report
- Participation in Practicals
- Final Exam

## PG - Research and Problem Solving Capability

Our postgraduates will be capable of systematic enquiry; able to use research skills to create new knowledge that can be applied to real world issues, or contribute to a field of study or practice to enhance society. They will be capable of creative questioning, problem finding and problem solving.

This graduate capability is supported by:

## Learning outcomes

- have an extensive understanding of the principles and the concepts of data mining methods and their applications
- apply creative thinking to resolve complex problems or issues as well as summarising complex multivariate data and creating visual summaries of such data
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## Assessment tasks

- Market Basket Analysis Report
- Data Mining Project Report
- Participation in Practicals
- Final Exam

## PG - Effective Communication

Our postgraduates will be able to communicate effectively and convey their views to different social, cultural, and professional audiences. They will be able to use a variety of technologically supported media to communicate with empathy using a range of written, spoken or visual formats.

This graduate capability is supported by:

### Learning outcomes

- apply creative thinking to resolve complex problems or issues as well as summarising complex multivariate data and creating visual summaries of such data
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### Assessment tasks

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- Participation in Practicals
- Final Exam

## PG - Engaged and Responsible, Active and Ethical Citizens

Our postgraduates will be ethically aware and capable of confident transformative action in relation to their professional responsibilities and the wider community. They will have a sense of connectedness with others and country and have a sense of mutual obligation. They will be able to appreciate the impact of their professional roles for social justice and inclusion related to national and global issues

This graduate capability is supported by:

## Learning outcomes

- apply creative thinking to resolve complex problems or issues as well as summarising complex multivariate data and creating visual summaries of such data
- examine and compare the differences between different decision trees and interpret sophisticated decision tree models for decision makers by writing a professional data mining report
- analyse data sets by applying classification and cluster analysis methods and use their results to create an action plan for the management
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## Assessment tasks

- Market Basket Analysis Report
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## Changes from Previous Offering

Late submissions will be given zero marks instead of a declining scale (see 2018 unit guide for clarification).

## Recommended Text Books

The Elements of Statistical Learning: Data Mining, Inference, and Prediction. Trevor HASTIE, Robert TIBSHIRANI, and Jerome FRIEDMAN. New York: Springer-Verlag, 2009. ISBN 9780387848570. (library call number Q325.75 .H37 2009 or the first edition Q325.75.F75 2001), freely downloadable from Macquarie library (Springerlink).

An Introduction to Statistical Learning with Applications in R, Gareth James, Daniela Witten, Trevor Hastie and Robert Tibshirani, Springer-Verlag, 2014. ISBN 978-1-4614-7137-0, freely downloadable from Macquarie library (Springerlink).

Data Mining: Concepts and techniques by Jiawei Han and Micheline Kamber, 2006, Morgan and Kaufmann (library call number QA76.9.D343 H36 2006 or earlier version QA76.9.D343.H36 2001) Please note that for 2006 edition limited preview is available from MQ library web site (from google books)

Data mining techniques for marketing, sales and customer relationship management by Michael Berry and Gordon Linoff, 2004, John Wiley (library call number HF5415.125 .B47 2004)

Mastering Data Mining: The Art and Science of Customer Relationship Management by Michael J. A. Berry, Gordon S. Linoff, January 2000, John Wiley, ISBN: 978-0-471-33123-0 (library call number HF5415.125.B47/2000)

Exploratory Data Mining and Data Cleaning by Tamraparni Dasu, Theodore Johnson, May 2003 (library call number QA76.9.D343 D34 2003 )

Statistics: An Introduction using R by Michael J. Crawley, March 2005, Wiley: ISBN: 0-470-02297-3 (library call number QA276.4 .C728)

Introductory Statistics with R by Peter Dalgaard, 2002, Springer (library call number QA276.4.D33 2002), freely downloadable from Macquarie library (Springerlink).

Knowledge discovery with support vector machines by Lutz Hamel, 2009, Wiley, limited view is available from Google Books

Data mining with R by Luís Torgo from <http://www.liacc.up.pt/~ltorgo/DataMiningWithR/>

An Introduction to R – online manual <https://cran.r-project.org/manuals.html>

Cross Industry Standard Process for Data Mining (CRISP-DM)

[http://en.wikipedia.org/wiki/Cross\\_Industry\\_Standard\\_Process\\_for\\_Data\\_Mining](http://en.wikipedia.org/wiki/Cross_Industry_Standard_Process_for_Data_Mining)

Introduction to Data Mining and Knowledge Discovery <http://www.twocrows.com/intro-dm.pdf>

## Software Packages

**R** We use the open source software R. You can download and install a copy of the program from the developers' web page: <http://cran.r-project.org/> or [www.R-project.org](http://www.R-project.org)

R is command line software. It might be hard to learn if you are not used to this kind of environment. However the benefits of learning to use this software outweigh the disadvantages. The benefits include and are not limited to the fact that it is free, it is very flexible, it has great support from R community through news groups.

**R Studio** We also use a user interface for R, RStudio, which can be downloaded from <https://www.rstudio.com/products/rstudio/download/>

**IBM SPSS Modeler** : This is graphically based data mining software from IBM and widely used by business. It can be accessed through [iLab](#), Macquarie University's personal computer laboratory on the Internet.

### Learning management system (LMS)

There is an iLearn (which is modification of Moodle) site for this unit where the required course materials for the unit will be posted. Communication within the unit is possible via iLearn forums. Remember to log in and read the posts at least twice a week, as the lecturer make important announcements.

The web page for the LMS is [ilearn.mq.edu.au](http://ilearn.mq.edu.au), use your **Macquarie OneID** to log in.