# AFIN3010

**Issues in Applied Finance**

Session 1, Special circumstances 2021

*Department of Applied Finance*

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## Disclaimer

Macquarie University has taken all reasonable measures to ensure the information in this publication is accurate and up-to-date. However, the information may change or become out-dated as a result of change in University policies, procedures or rules. The University reserves the right to make changes to any information in this publication without notice. Users of this publication are advised to check the website version of this publication [or the relevant faculty or department] before acting on any information in this publication.

## Notice

As part of **Phase 3 of our return to campus plan**, most units will now run tutorials, seminars and other small group activities on campus, and most will keep an online version available to those students unable to return or those who choose to continue their studies online.

To check the availability of face-to-face activities for your unit, please go to **timetable viewer**. To check detailed information on unit assessments visit your unit’s iLearn space or consult your unit convenor.
General Information

Unit convenor and teaching staff
Unit Convenor
Di Bu
di.bu@mq.edu.au

Credit points
10

Prerequisites
10cp in ACST or AFIN units at 3000 level

Corequisites

Co-badged status

Unit description
This unit gives students the opportunity to test how their finance skills can be applied in practice by exposure to leading industry professionals. The topics selected go beyond traditional corporate and investment finance, and include coverage of the latest issues and real world scenarios. Students will learn first hand from practitioners on current and future trends and skills required in finance to prepare them as they embark on their careers in the industry. The projects completed in the unit are carefully set in conjunction with the industry professionals, giving students the opportunity to show evidence of demonstrated learning and the application of finance knowledge from a broader perspective.

Important Academic Dates
Information about important academic dates including deadlines for withdrawing from units are available at https://students.mq.edu.au/important-dates

Learning Outcomes
On successful completion of this unit, you will be able to:

ULO1: Apply theories and techniques in finance and be aware of the assumptions and limitations in practice.
ULO2: Evaluate the real world business applications of financial concepts.
ULO3: Work cooperatively in a group to conduct analysis and report the findings and recommendations.
ULO4: Develop good understanding of contemporary developments in the financial
sector.

General Assessment Information

Assessment criteria for all assessment tasks will be provided on the unit iLearn site.

It is the responsibility of students to view their marks for each within-session-assessment on iLearn within 20 days of posting. If there are any discrepancies, students must contact the unit convenor immediately. Failure to do so will mean that queries received after the release of final results regarding assessment tasks (not including the final exam mark) will not be addressed.

Late submissions and extensions

Tasks 10% or less – No extensions will be granted. Students who have not submitted the task prior to the deadline will be awarded a mark of 0 for the task, except for cases in which an application for special consideration is made and approved.

Tasks above 10% - No extensions will be granted. There will be a deduction of 10% of the total available marks made from the total awarded mark for each 24 hour period or part thereof that the submission is late (for example, 25 hours late in submission – 20% penalty). This penalty does not apply for cases in which an application for special consideration is made and approved. No submission will be accepted after solutions have been posted.

Assessment Tasks

<table>
<thead>
<tr>
<th>Name</th>
<th>Weighting</th>
<th>Hurdle</th>
<th>Due</th>
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</thead>
<tbody>
<tr>
<td>Individual Assignment</td>
<td>25%</td>
<td>No</td>
<td>Week 5</td>
</tr>
<tr>
<td>Group Assignment</td>
<td>25%</td>
<td>No</td>
<td>Week 7</td>
</tr>
<tr>
<td>Online Quiz</td>
<td>0%</td>
<td>No</td>
<td>Week 8</td>
</tr>
<tr>
<td>Final Examination</td>
<td>50%</td>
<td>No</td>
<td>Final Exam Period</td>
</tr>
</tbody>
</table>

Individual Assignment

Assessment Type: Case study/analysis
Indicative Time on Task: 12 hours
Due: Week 5
Weighting: 25%

The assignment will cover quantitative and qualitative analysis of a finance scenario or problem. Student will be required to submit a report of 1000 to 2000 words.

On successful completion you will be able to:
• Apply theories and techniques in finance and be aware of the assumptions and limitations in practice.
• Evaluate the real world business applications of financial concepts.
• Develop good understanding of contemporary developments in the financial sector.

Group Assignment
Assessment Type 1: Project
Indicative Time on Task 2: 10 hours
Due: Week 7
Weighting: 25%

The assignment will cover quantitative and qualitative analysis of a finance scenario or problem. Student will be required to work in a group and produce a report of 1500 to 2000 words.

On successful completion you will be able to:
• Apply theories and techniques in finance and be aware of the assumptions and limitations in practice.
• Evaluate the real world business applications of financial concepts.
• Work cooperatively in a group to conduct analysis and report the findings and recommendations.

Online Quiz
Assessment Type 1: Quiz/Test
Indicative Time on Task 2: 0.5 hours
Due: week 8
Weighting: 0%

The online quiz will consist of 5 to 10 multiple choice and / or short answer questions and will be available on iLearn. Please use the quiz result as an indicator of whether you are progressing satisfactorily in the unit.

On successful completion you will be able to:
• Apply theories and techniques in finance and be aware of the assumptions and limitations in practice.
Final Examination

Assessment Type 1: Examination
Indicative Time on Task 2: 20 hours
Due: Final Exam Period
Weighting: 50%

An open book online exam of 2 hours will be held during the University Examination Period.

On successful completion you will be able to:

• Apply theories and techniques in finance and be aware of the assumptions and limitations in practice.
• Evaluate the real world business applications of financial concepts.
• Develop good understanding of contemporary developments in the financial sector.

1 If you need help with your assignment, please contact:
  • the academic teaching staff in your unit for guidance in understanding or completing this type of assessment
  • the Learning Skills Unit for academic skills support.

2 Indicative time-on-task is an estimate of the time required for completion of the assessment task and is subject to individual variation

Delivery and Resources
No prescribed textbook required

Unit Schedule

<table>
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<tr>
<th>Week</th>
<th>Lecturer</th>
<th>Topic</th>
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<tr>
<td>Week 1</td>
<td>Mahjabeen Zaman</td>
<td>An overview of risks within the investments industry</td>
<td>Senior Investment Specialist - Citi Australia</td>
</tr>
<tr>
<td>Week 2</td>
<td>Mahjabeen Zaman</td>
<td>Investment Trends in Wealth Management ES</td>
<td></td>
</tr>
<tr>
<td>Week 3</td>
<td>Richard Lawson</td>
<td>Quantitative investing – Part 1</td>
<td>Co-founder of InterValley Ventures an Australian venture capital firm</td>
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</table>
Policies and Procedures

Macquarie University policies and procedures are accessible from Policy Central ([https://staff.mq.edu.au/work/strategy-planning-and-governance/university-policies-and-procedures/policy-central](https://staff.mq.edu.au/work/strategy-planning-and-governance/university-policies-and-procedures/policy-central)). Students should be aware of the following policies in particular with regard to Learning and Teaching:

- **Academic Appeals Policy**
- **Academic Integrity Policy**
- **Academic Progression Policy**
- **Assessment Policy**
- **Fitness to Practice Procedure**
- **Grade Appeal Policy**
- **Complaint Management Procedure for Students and Members of the Public**
- **Special Consideration Policy** (*Note: The Special Consideration Policy is effective from 4 December 2017 and replaces the Disruption to Studies Policy.*)

Students seeking more policy resources can visit the Student Policy Gateway ([https://students.mq.edu.au/support/study/student-policy-gateway](https://students.mq.edu.au/support/study/student-policy-gateway)). It is your one-stop-shop for the key policies you need to know about throughout your undergraduate student journey.
Student Support

Macquarie University provides a range of support services for students. For details, visit [http://students.mq.edu.au/support/](http://students.mq.edu.au/support/)

Learning Skills

Learning Skills ([mq.edu.au/learningskills](http://mq.edu.au/learningskills)) provides academic writing resources and study strategies to help you improve your marks and take control of your study.

- Getting help with your assignment
- Workshops
- StudyWise
- Academic Integrity Module

The Library provides online and face to face support to help you find and use relevant information resources.

- Subject and Research Guides
- Ask a Librarian

Student Enquiry Service

For all student enquiries, visit Student Connect at [ask.mq.edu.au](http://ask.mq.edu.au)

If you are a Global MBA student contact globalmba.support@mq.edu.au

Equity Support

Students with a disability are encouraged to contact the Disability Service who can provide appropriate help with any issues that arise during their studies.

IT Help

For help with University computer systems and technology, visit [http://www.mq.edu.au/about_us/](http://www.mq.edu.au/about_us/)

If you would like to see all the policies relevant to Learning and Teaching visit [Policy Central](https://staff.mq.edu.au/work/strategy-planning-and-governance/university-policies-and-procedures/policy-central).

**Student Code of Conduct**

Macquarie University students have a responsibility to be familiar with the Student Code of Conduct: [https://students.mq.edu.au/admin/other-resources/student-conduct](https://students.mq.edu.au/admin/other-resources/student-conduct)

**Results**

Results published on platform other than eStudent, (eg. iLearn, Coursera etc.) or released directly by your Unit Convenor, are not confirmed as they are subject to final approval by the University. Once approved, final results will be sent to your student email address and will be made available in eStudent. For more information visit [ask.mq.edu.au](http://ask.mq.edu.au) or if you are a Global MBA student contact globalmba.support@mq.edu.au
When using the University's IT, you must adhere to the Acceptable Use of IT Resources Policy. The policy applies to all who connect to the MQ network including students.