AFCP8141
Sustainable Finance
Term 4, In person-scheduled-infrequent, City 2022

Department of Applied Finance

Contents

<table>
<thead>
<tr>
<th>Section</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>General Information</td>
<td>2</td>
</tr>
<tr>
<td>Learning Outcomes</td>
<td>2</td>
</tr>
<tr>
<td>General Assessment Information</td>
<td>2</td>
</tr>
<tr>
<td>Assessment Tasks</td>
<td>3</td>
</tr>
<tr>
<td>Delivery and Resources</td>
<td>4</td>
</tr>
<tr>
<td>Unit Schedule</td>
<td>5</td>
</tr>
<tr>
<td>Policies and Procedures</td>
<td>6</td>
</tr>
</tbody>
</table>

Disclaimer
Macquarie University has taken all reasonable measures to ensure the information in this publication is accurate and up-to-date. However, the information may change or become out-dated as a result of change in University policies, procedures or rules. The University reserves the right to make changes to any information in this publication without notice. Users of this publication are advised to check the website version of this publication (or the relevant faculty or department) before acting on any information in this publication.
General Information

Unit convenor and teaching staff
Lindesay Brine
lindesay.brine@mq.edu.au

James Waddell
james.waddell@mq.edu.au

Credit points
10

Prerequisites
Admission to Master of Applied Finance or Graduate Diploma of Applied Finance

Corequisites

Co-badged status

Unit description
This unit applies Financial Markets techniques and methods to the development of sustainable capital markets with a focus on financing sustainable projects and developments.

Important Academic Dates
Information about important academic dates including deadlines for withdrawing from units are available at https://www.mq.edu.au/study/calendar-of-dates

Learning Outcomes
On successful completion of this unit, you will be able to:

ULO1: Recognise the role of finance in supporting the Sustainable Development Goals and explain the impact of sustainable finance on financing markets, market participants and financial instruments.

ULO2: Critically assess and evaluate the tools and techniques used for achieving sustainable development goals and the UN Global Compact into financing markets.

ULO3: Create solutions which integrate principles from the United Nation’s Global Compact into the full lifecycle of a finance transaction.

General Assessment Information

Late Assessment Submission Penalty (written assessments)
Unless a Special Consideration request has been submitted and approved, a 5% penalty (of the total possible mark) will be applied each day a written assessment is not submitted, up until the 7th day (including weekends). After the 7th day, a grade of ‘0’ will be awarded even if the assessment is submitted. Submission time for all written assessments is set at 11.55pm. A 1-hour grace period is provided to students who experience a technical concern.

For any late submissions of time-sensitive tasks, such as scheduled tests/exams, performance assessments/presentations, and/or scheduled practical assessments/labs, students need to submit an application for Special Consideration.

### Assessment Tasks

<table>
<thead>
<tr>
<th>Name</th>
<th>Weighting</th>
<th>Hurdle</th>
<th>Due</th>
</tr>
</thead>
<tbody>
<tr>
<td>Class activities</td>
<td>20%</td>
<td>No</td>
<td>Ongoing</td>
</tr>
<tr>
<td>Final assessment</td>
<td>40%</td>
<td>No</td>
<td>Exam Week (Week 11)</td>
</tr>
</tbody>
</table>

#### Class activities

Assessment Type 1: Participatory task
Indicative Time on Task 2: 20 hours
Due: **Ongoing**
Weighting: **20%**

Tasks set with online classes including quizzes, online or recorded presentations, learning portfolio, analytical tasks and participation.

On successful completion you will be able to:

- Recognise the role of finance in supporting the Sustainable Development Goals and explain the impact of sustainable finance on financing markets, market participants and financial instruments.

#### Financing Proposal

Assessment Type 1: Case study/analysis
Indicative Time on Task 2: 30 hours
Due: **Part 1: Week 4, Part 2a: Week 6 Part 2b: Week 9**
Weighting: **40%**

Assessment of financing transactions. Individual tasks of up to 2,500 words.
On successful completion you will be able to:

• Critically assess and evaluate the tools and techniques used for achieving sustainable development goals and the UN Global Compact into financing markets.
• Create solutions which integrate principles from the United Nation’s Global Compact into the full lifecycle of a finance transaction.

Final assessment
Assessment Type ¹: Practice-based task
Indicative Time on Task ²: 20 hours
Due: Exam Week (Week 11)
Weighting: 40%

A time-constrained task based on scenarios. Open book.

On successful completion you will be able to:
• Recognise the role of finance in supporting the Sustainable Development Goals and explain the impact of sustainable finance on financing markets, market participants and financial instruments.
• Critically assess and evaluate the tools and techniques used for achieving sustainable development goals and the UN Global Compact into financing markets.
• Create solutions which integrate principles from the United Nation’s Global Compact into the full lifecycle of a finance transaction.

¹ If you need help with your assignment, please contact:
• the academic teaching staff in your unit for guidance in understanding or completing this type of assessment
• the Writing Centre for academic skills support.

² Indicative time-on-task is an estimate of the time required for completion of the assessment task and is subject to individual variation

Delivery and Resources
Attendance at weekly online sessions and weekend sessions is vital due to the structure of assessments. While some weekly dates may move due to availability of guest
speakers, please ensure you are available for the weekend sessions.

Learning and Teaching Activities

**Strategy:** Units in the Applied Finance Centre adopt a deep teaching and learning strategy. Students acquire and retain knowledge and can make sense of the issues and concepts and apply them in the “real world”. The program relies heavily on student engagement and participation by: (a) Continuous learning throughout the term. This is encouraged through a combination of students undertaking prescribed reading throughout the units and/or completion of practice problems, online activities, case studies, assignments, class discussions, etc and interaction via forums; and (b) Assessments, which enable the student to demonstrate his/her understanding of the learning objectives achieved through the continuous learning. There are no large-scale or formal lectures.

**Student Participation:** This is a blended unit where students need to complete assigned activities before live Zoom sessions and weekend sessions. Students participate in this unit by: (a) Actively engaging with the videos and required readings of this unit; (b) Working systematically through and completing online activities; (c) Interacting in forums and face-to-face/online sessions, and (d) Completing all assessment tasks.

**Unit Schedule**

Approximate schedule which may change depending on availability of guest speakers.

<table>
<thead>
<tr>
<th>Topic</th>
<th>Live Session / Class</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Introduction to Sustainable Finance &amp; expected contributions</td>
<td>Zoom Q&amp;A 19 Sep, 6:00-7:30 pm</td>
</tr>
<tr>
<td>2. ESG Essentials: Shared Value</td>
<td>Zoom Q&amp;A 26 Sep, 6:00-7:30 pm</td>
</tr>
<tr>
<td>3. ESG Essentials: ESG and Debt (Part 1)</td>
<td>Zoom Q&amp;A 5 Oct, 6:00-7:30 pm</td>
</tr>
<tr>
<td>4. ESG Essentials: ESG and Debt (Part 2)</td>
<td>Zoom Q&amp;A 10 Oct, 6:00-7:30 pm</td>
</tr>
<tr>
<td>5. ESG Essentials: ESG and Equity</td>
<td>Zoom Q&amp;A 17 Oct, 6:00-7:30 pm</td>
</tr>
<tr>
<td>6. Case Studies: Social Impact Bonds</td>
<td>Attendance options: CBD Campus, Room TBA, Zoom</td>
</tr>
<tr>
<td>Saturday 22 Oct, 10 am - 3 pm (Online &amp; in-person)</td>
<td></td>
</tr>
<tr>
<td>Sunday 23 Oct, 10 am- 3 pm (Online &amp; in-person)</td>
<td></td>
</tr>
<tr>
<td>7. ESG Topics: Carbon Trading</td>
<td>Zoom Q&amp;A 31 Oct, 6:00-7:30 pm</td>
</tr>
<tr>
<td>8. ESG Topics: Natural Capital</td>
<td>Zoom Q&amp;A 7 Nov, 6:00-7:30 pm</td>
</tr>
</tbody>
</table>
Policies and Procedures

Macquarie University policies and procedures are accessible from Policy Central (https://policies.mq.edu.au). Students should be aware of the following policies in particular with regard to Learning and Teaching:

- Academic Appeals Policy
- Academic Integrity Policy
- Academic Progression Policy
- Assessment Policy
- Fitness to Practice Procedure
- Assessment Procedure
- Complaints Resolution Procedure for Students and Members of the Public
- Special Consideration Policy

Students seeking more policy resources can visit Student Policies (https://students.mq.edu.au/support/study/policies). It is your one-stop-shop for the key policies you need to know about throughout your undergraduate student journey.

To find other policies relating to Teaching and Learning, visit Policy Central (https://policies.mq.edu.au) and use the search tool.

Student Code of Conduct

Macquarie University students have a responsibility to be familiar with the Student Code of Conduct: https://students.mq.edu.au/admin/other-resources/student-conduct

Results

Results published on platform other than eStudent, (eg. iLearn, Coursera etc.) or released directly by your Unit Convenor, are not confirmed as they are subject to final approval by the University. Once approved, final results will be sent to your student email address and will be made available in eStudent. For more information visit ask.mq.edu.au or if you are a Global MBA student contact globalmba.support@mq.edu.au

Academic Integrity

At Macquarie, we believe academic integrity – honesty, respect, trust, responsibility, fairness and
courage – is at the core of learning, teaching and research. We recognise that meeting the expectations required to complete your assessments can be challenging. So, we offer you a range of resources and services to help you reach your potential, including free online writing and maths support, academic skills development and wellbeing consultations.

Student Support
Macquarie University provides a range of support services for students. For details, visit http://students.mq.edu.au/support/

The Writing Centre
The Writing Centre provides resources to develop your English language proficiency, academic writing, and communication skills.

• Workshops
• Chat with a WriteWISE peer writing leader
• Access StudyWISE
• Upload an assignment to Studiosity
• Complete the Academic Integrity Module

The Library provides online and face to face support to help you find and use relevant information resources.

• Subject and Research Guides
• Ask a Librarian

Student Services and Support
Macquarie University offers a range of Student Support Services including:

• IT Support
• Accessibility and disability support with study
• Mental health support
• Safety support to respond to bullying, harassment, sexual harassment and sexual assault
• Social support including information about finances, tenancy and legal issues

Student Enquiries
Got a question? Ask us via AskMQ, or contact Service Connect.

IT Help
For help with University computer systems and technology, visit http://www.mq.edu.au/about_us/offices_and_units/information_technology/help/.

When using the University’s IT, you must adhere to the Acceptable Use of IT Resources Policy.
The policy applies to all who connect to the MQ network including students.